This Page Is Inserted by IFW Operations and is not a part of the Official Record

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

IMAGES ARE BEST AVAILABLE COPY.

As rescanning documents will not correct images, please do not report the images to the Image Problem Mailbox.

PTO/SB/21 (08-03

Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

10/681,418 **Application Number** TRANSMITTAL Filing Date October 7, 2003 **FORM** First Named Inventor H. Michael Shepard, et al. (to be used for all correspondence after initial filing) Art Unit 1614 **Examiner Name** Not Yet Assigned Attorney Docket Number NR 2008 01 Total Number of Pages in This Submission 4

Total Hallion of Gragor	o iii Tiilo Gaariiloolor	<u> </u>	Allome	y Docket Number	14B 2000.01
ENCLOSURES (check all that apply)					
Fee Transmittal F	☐ Drawing(s)			After Allowance Communication to Group	
Fee Attached		Licensing-related Papers			Appeal Communication to Board of Appeals and Interferences
Amendment / Reply		Petition			Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
After Final	Petition to Convert to a Provisional Application			Proprietary Information	
• Affidavits/dec	Power of Attorney, Revocation Change of Correspondence Address			Status Letter	
Extension of Time Request		Terminal Disclaimer			Other Enclosure(s) (please identify below):
Express Abandonment Request		Request for Refund CD, Number of CD(s)			Request for Corrected Filing Receipt with marked up copy of original filing receipt and return
☐ Information Disclosure Statement					receipt postcard
Certified Copy of Priority Document(s)		Rema	rks		
Response to Missing Parts/ Incomplete Application					·
Response to Missing Parts under 37 CFR 1.52 or 1.53					
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT					
Firm or Individual name	Bingham McCutchen LLP Antoinette F. Konski				
Signature	to S	Cowoll 04	li .		
Date	april	3, 200	24		
CERTIFICATE OF MAILING					

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or printed name

Signature

Peggy Nichols

u 7.2 Teggy 1 sechol

Date

April 9, 2004

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application for:

H. Michael Shepard, et al.

Serial No.: 10/681,418

Filing Date: October 7, 2003

For: Enzyme Catalyzed Therapeutic

Compounds

Examiner: Not Yet Assigned

Group Art Unit: 1614

REQUEST FOR A CORRECTED FILING RECEIPT

Office of Initial Patent Examination's Filing Receipt Corrections Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Please furnish the undersigned with a corrected Filing Receipt for the above-identified application. A marked-up copy of the filing receipt is enclosed.

The correction needed is as follows:

In the Domestic Priority as claimed by Applicant(s) section, please correct to read:

This application is a CON of 09/856,127 10/10/2001 now U.S. Patent No.
6,683,061 01/27/04 which claims priority to each of: 60/145,356 07/22/1999;
60/145,437 07/23/1999 and 60/191,315 03/21/2000

The correction is not due to any error by the applicants and no fee is due. However, if for any reason a fee is found to be necessary, our <u>Deposit Account No. 50-2518</u> may be charged therefore referencing billing number <u>7008303001</u>.

Respectfully submitted,

Date: April 9, 2004

Antoinette F. Konski Reg. No. 34,202

Bingham McCutchen LLP Three Embarcadero Center, Suite 1800 San Francisco, CA 94111-4067 Telephone: (650) 849-4950

Facsimile: (650) 849-4800 PA:52131920.1/2023896-7008303001



United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P. O. Sox 1450 Alexandra, Viginia 22313-1450 www.unpto.gov

FILING OR 371 APPL NO. **ART UNIT** FIL FEE REC'D ATTY.DOCKET NO TOT CLMS DRAWINGS IND CLMS (c) DATE 10/681,418 10/07/2003 1614 484 NB 2008.01 31

23639 BINGHAM, MCCUTCHEN LLP THREE EMBARCADERO, SUITE 1800 SAN FRANCISCO, CA 94111-4067



CONFIRMATION NO. 7416

FILING RECEIPT OC000000011607359

Date Mailed: 01/02/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

H. Michael Shepard, Encinitas, CA; Ming Fai Chan, Encinitas, CA; Michael P. Groziak, Palo Alto, CA;

Domestic Priority data as claimed by applicant

This application is a CON of 09/856,127 10/10/2001 ABNwhich is a CON of PCT/US00/20008 07/21/2000

Foreign Applications

If Required, Foreign Filing License Granted: 01/02/2004

Projected Publication Date: 04/15/2004

Non-Publication Request: No

Early Publication Request: No

** SMALL ENTITY **

Title

Enzyme catalyzed therapeutic compounds

NOW U.S. PATENT NO. 6,683.061 01/27/04 WHICH CLAIMS PRIORITY TO EACH OF: 60/145,356 07/22/1999; 60/145,437 07/23/1999 AND 60/191,315 03/21/2000

LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).